



<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/813,304
				Filing Date	March 21, 2001
				First Named Inventor	Katsunori NISHII et al.
				Art Unit	2823
				Examiner Name	Fernando L. Toledo
Sheet	1	of	1	Attorney Docket Number	740819-529

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
FT		US-4,325,182	04-20-1982	Tefft et al.	
FT		US-5,929,467	07-27-1999	Kawai et al.	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				
FT		JP 2000-164926	06-16-2000	Kawai		Abstract
FT		WO 96/42114	12-27-1996	Chai		Full

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
FT		KUROMITSU ET AL., "DEVELOPMENT OF A SURFACE-TREATMENT METHOD FOR AIN SUBSTRATES TO IMPROVE ADHESION WITH THICK-FILM CONDUCTORS", JOURNAL OF ADHESION SCIENCE AND TECHNOLOGY, VOL. 12, NO. 1, PP. 105-119, 1998	
FT		MASATO ET AL., "NOVEL HIGH DRAIN BREAKDOWN VOLTAGE AlGaIn/GaNHFETs USING SELECTIVE THERMAL OXIDATION PROCESS", INTERNATIONAL ELECTRON DEVICES MEETING 2000, PP.377-380	
FT		READINGER ET AL., "AN INVESTIGATION OF THE THERMAL OXIDES ON GALLIUM NITRIDE GROWN UNDER VARIOUS OXIDIZING AMBIENTS" ELECTROCHEMICAL SOCIETY PROCEEDINGS, Vol. 98-18, PP. 215-224, NOVEMBER 1998	
FT		EUROPEAN SEARCH REPORT DATED SEPTEMBER 29, 2003	

Examiner Signature	/Fernando Toledo/	Date Considered	02/02/2007
--------------------	-------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

RECEIVED  
JAN 11 2007  
U.S. PATENT & TRADEMARK OFFICE



<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/813,304
				Filing Date	March 21, 2001
				First Named Inventor	Katsunori NISHII et al.
				Art Unit	2823
				Examiner Name	Fernando L. Toledo
				Attorney Docket Number	740819-529
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				
FT		JP 7-142404	06-02-1995	Kiyoteru et al.		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
FT		Office Action from People's Republic of China	

Examiner Signature	/Fernando Toledo/	Date Considered	02/02/2007
-----------------------	-------------------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

